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ASSIGNOR:

WAGGONER, ALAN S.

DOC DATE: 02/11/1997

ASSIGNEE:

CARNEGIE MELLON UNIVERSITY
5000 FORBES AVENUE
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SERIAL NUMBER: 08745712

FILING DATE: 11/12/1996

PATENT NUMBER:

ISSUE DATE:

JEEVON JONES, EXAMINER
ASSIGNMENT DIVISION
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Alan S. Waggoner

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Carnegie Mellon University

Internal Address: _____

Street Address: 5000 Forbes Avenue

City: Pittsburgh State: PA ZIP: 15213

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: February 11, 1997

4. Application number(s) or patent number(s):

☒ If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

08/745,712

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Christine R. Ethridge, Esq.

Internal Address: Kirkpatrick &
Lockhart LLP

Street Address: 1500 Oliver Building

City: Pittsburgh State: PA ZIP: 15222

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

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9. Statement and signature.

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Christine R. Ethridge

Name of Person Signing

Signature

Feb. 13, 1997

Date

Total number of pages including cover sheet, attachments, and document: 6

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JC918 U.S. PT. 097072/60 12/19/00

Attorney's Docket No. 92053CONCIP

PATENT

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☐ U.S. Provisional Application
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ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Inventor(s) or person(s) or
entity(ies) who own the
invention

Alan S. Waggoner

(type or print name(s) of ASSIGNOR(S))

121 Lexington Avenue

Address

Pittsburgh, PA 15209

U.S.A.

Nationality

*(if assignment is by person or entity to whom invention was previously assigned
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hereby sells, assigns and transfers to

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Carnegie Mellon University

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5000 Forbes Avenue

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(a non-profit Pennsylvania organization)

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest
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☒ and in all foreign countries, including all rights to claim priority,
in and to any and all improvements which are disclosed in the invention entitled:
**CYANINE DYES AS LABELING REAGENTS FOR DETECTION OF BIOLOGICAL
AND OTHER MATERIALS BY LUMINESCENCE METHODS**
Name of inventor(s) Alan S. Waggoner

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith
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(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention.
☐ Express mail label no.: _____
Mailed: _____
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.
(d) ☒ U.S. application no. 08 / 745,712
filed on November 12, 1996
(e) ☐ International application no. PCT/ _____ / _____
(f) ☐ U.S. patent no. _____ issued _____
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- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

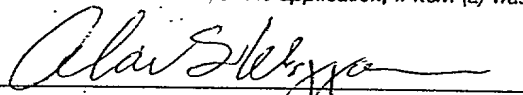
ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this _____ day
of _____
Date of signing

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date:

2/11/97



Signature of ASSIGNOR(S)
(Alan S. Waggoner)

Date:

Date:

Date:

(if ASSIGNOR is a legal entity, complete the following information)

(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

(Assignment of Invention [16-3]—page 3 of 3)